



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Peter Mascia  
Serial No. : 10/667,295  
Filed : September 17, 2003  
Title : BIOLOGICAL CONTAINMENT SYSTEM

Art Unit : 1638  
Examiner : David Fox  
Conf. No. : 8833

**MAIL STOP AMENDMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Applicants request consideration of the references listed on the attached PTO-1449 form. Under 37 C.F.R. § 1.98 (a)(2)(ii), only copies of foreign patent documents and/or non-patent literature are enclosed. Copies of any listed U.S. patents or U.S. patent application publications can be provided upon request.

This statement is being filed after a first Office action on the merits, but before receipt of a final Office action or a Notice of Allowance. A check for \$180 in payment of the late submission fee of §1.17(p) is enclosed. Also enclosed is a copy of the Supplementary European Search Report issued for the counter-part European patent application.

10/02/2006 HVUONG1 00000037 10667295

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Applicant : Peter Mascia  
Serial No. : 10/667,295  
Filed : September 17, 2003  
Page : 2 of 2

Attorney's Docket No.: 11696-047001

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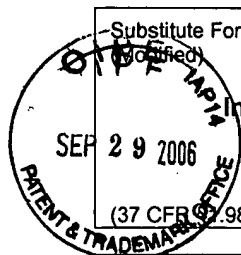
Respectfully submitted,

Date: Sep 26, 2006

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Substitute Form PTO-1449

U.S. Department of Commerce  
Patent and Trademark OfficeAttorney's Docket No.  
11696-047001Application No.  
10/667,295**Information Disclosure Statement  
by Applicant**

(Use several sheets if necessary)

(37 CFR 1.98(b))

Applicant  
Peter MasciaFiling Date  
September 17, 2003Group Art Unit  
1638**U.S. Patent Documents**

Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA	4,761,373	08/02/88	Anderson et al.			
	AB	4,769,061	09/06/88	Comai			
	AC	4,810,648	03/07/89	Stalker			
	AD	4,940,835	07/10/90	Shah et al.			
	AE	4,975,374	12/04/90	Goodman et al.			
	AF	5,013,659	05/07/91	Bedbrook et al.			
	AG	5,162,602	11/10/92	Somers et al.			
	AH	5,276,268	01/04/94	Strauch et al.			
	AI	5,554,798	09/10/96	Lundquist et al.			
	AJ	5,561,236	10/01/96	Leemans et al.			
	AK	5,591,616	01/07/97	Hiei et al.			
	AL	5,723,765	03/03/98	Oliver et al.			
	AM	5,767,366	06/16/98	Sathasivan et al.			
	AN	5,773,697	06/30/98	Tomes et al.			
	AO	5,879,903	03/09/99	Strauch et al.			
	AP	5,928,937	07/27/99	Kakefuda et al.			
	AQ	5,958,745	09/28/99	Gruys et al.			
	AR	6,063,958	05/16/00	Chua et al.			
	AS	6,084,155	07/04/00	Volrath et al.			
	AT	6,127,606	10/03/00	Bennett et al.			
	AU	6,229,064	05/08/01	Fischer et al.			
	AV	6,255,562	07/03/01	Heyer et al.			
	AW	6,451,732	09/17/02	Beckett et al.			
	AX	6,451,735	09/17/02	Ottaway et al.			
	AY	6,815,577	11/09/04	Harper et al.			
	AZ	2001/0016956	08/23/01	Ward et al.			
	AAA	2002/081667	06/27/02	Gorlach et al.			

Examiner Signature

Date Considered

EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 11696-047001	Application No. 10/667,295
<b>Information Disclosure Statement by Applicant</b> (Use several sheets if necessary)  (37 CFR §1.98(b))		Applicant Peter Mascia	
		Filing Date September 17, 2003	Group Art Unit 1638

U.S. Patent Documents							
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	ABB						

Foreign Patent Documents or Published Foreign Patent Applications								
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
	ACC	97/30164	08/21/97	WIPO				
	ADD	0 242 246	10/21/87	EP				
	AEE	0 823 480	02/11/98	EP				
	AFF	1 461 438	09/29/04	EP				
	AGG							

Other Documents (include Author, Title, Date, and Place of Publication)		
Examiner Initial	Desig. ID	Document
	AHH	Aoyama and Chua, "A glucocorticoid-mediated transcriptional induction system in transgenic plants," <u>Plant Journal</u> , 1997, 11(3):605-612
	AII	Bruce et al., "Expression Profiling of the Maize Flavonoid Pathway Genes Controlled by Estradiol-Inducible Transcription Factors CRC and P" <u>The Plant Cell</u> , 2000, 12:65-79
	AJJ	Caddick et al., "An ethanol inducible gene switch for plants used to manipulate carbon metabolism," <u>Nat. Biotechnology</u> , 1997, 16(2):177-180
	AKK	Dasgupta et al., "Cloning and sequencing of 5' flanking sequence from the gene encoding 2S storage protein, from two <i>Brassica</i> species," <u>Gene</u> , 1993, 133:301-302
	ALL	Daniell, "Molecular strategies for gene containment in transgenic crops" <u>Nature Biotechnology</u> , 2002, 20(6):581-586
	AMM	de Pater et al. "Characterization of a zinc-dependent transcriptional activator from <i>Arabidopsis</i> , 1996, 24(23):4624-4631
	ANN	Escriva et al. "Ligand binding and nuclear receptor evolution" <u>BioEssays</u> , 2000, 22:717-727
	AOO	Gatz, "Chemical Control of Gene expression," <u>Annual Review of Plant Physiology and Plant Molecular Biology</u> , 1997, 48:89-108
	APP	Guyer et al. "Activation of Latent Transgenes in Arabidopsis Using a Hybrid Transcription Factor" <u>Genetics</u> , 1998, 149:633-639
	AQQ	Mascia et al. "Safe and acceptable strategies for producing foreign molecules in plants" <u>Current Opinion in Plant Biology</u> , 2004, 7:189-195
	ARR	Meshi et al. "Plant Transcription Factors" <u>Plant Cell Physiology</u> , 1995, 36(8):1405-1420
	ASS	Olefsky "Nuclear Receptor Minireview Series" <u>The Journal of Biological Chemistry</u> , 2001, 276(4):36863-36864
	ATT	Riechmann et al. "A genomic perspective on plant transcription factors" <u>Current Opinion in Plant Biology</u> , 2000, 3:423-434

Examiner Signature	Date Considered
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 11696-047001	Application No. 10/667,295
<b>Information Disclosure Statement by Applicant</b> (Use several sheets if necessary)  (37 CFR §1.98(b))		Applicant Peter Mascia	
		Filing Date September 17, 2003	Group Art Unit 1638

<b>Other Documents (include Author, Title, Date, and Place of Publication)</b>		
Examiner Initial	Desig. ID	Document
	AUU	Riechmann et al. "Arabidopsis Transcription Factors: Genome-Wide Comparative Analysis Among Eukaryotes" <u>Science</u> , 2000, 290:2105-2110
	AVV	Struhl "Helix-turn-helix, zinc finger, and leucin-zipper motifs for eukaryotic transcriptional regulatory proteins" <u>TIBS</u> , 1989, 137-140
	AWW	

Examiner Signature	Date Considered
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	